

03/09/00

JCT15

03-13-00

Please type a plus sign (+) inside this box → Approved for use through 08/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number.

PTO

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. ARAX-011

First Inventor or Application Identifier Tipin Ben Chang, et al.

Title XML User Interface for a Workflow Server

Express Mail Label No. EL209954274US

APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small>		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
<p>1. <input type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification [Total Pages 61] (preferred arrangement set forth below)</p> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 5]</p> <p>4. Oath or Declaration [Total Pages <input type="checkbox"/>]</p> <p>a. <input type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 16 completed)</p> <p>i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting Inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).</p>		<p>5. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (Identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies 	
ACCOMPANYING APPLICATION PARTS			
<p>7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>8. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of (when there is an assignee) <input type="checkbox"/> Attorney</p> <p>9. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>11. <input type="checkbox"/> Preliminary Amendment</p> <p>12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>13. <input type="checkbox"/> Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application, (PTO/SARCS-12) <input type="checkbox"/> Status still proper and desired</p> <p>14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>15. <input checked="" type="checkbox"/> Other: <u>Transmittal Letter</u></p>			
<p><small>NOTE FOR FEE PAYMENT: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED ON C.F.R. § 1.27, EXCEPT IN ONE CASE IF A PRIOR APPLICATION IS RELIED UPON (C.F.R. § 1.27).</small></p> <p>16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: _____</p> <p>Prior application information: Examiner _____ Group / Art Unit: _____</p> <p>For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p>			
17. CORRESPONDENCE ADDRESS			
<input type="checkbox"/> Customer Number or Bar Code Label <small>Insert Customer No. or Attach Bar Code Label Here!</small>		<input type="checkbox"/> Correspondence address below	
Name	David B. Ritchie		
	D'Alessandro & Ritchie		
Address	P.O. Box 640640		
City	San Jose	State	CA
Country	USA	Telephone	408-441-1100
Zip Code	95164-0640		
Fax	408-441-8400		
Name (Print/Type)	Marc S. Hanish	Registration No. (Attorney/Agent)	42,626
Signature			Date 3/1/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Art Unit:
Tipin Ben Chang and Chung-Jen Ho)
Serial No. [Not yet assigned])
Filed: March 9, 2000)
For: **XML USER INTERFACE FOR A)
WORKFLOW SERVER**)

CERTIFICATE OF MAILING

"Express Mail" mailing label no: EL209954274US
Date of Deposit: March 9, 2000
I hereby certify that this correspondence is being
deposited with the United States Postal Service "Express
Mail Post Office to Addressee" service under 37 CFR
1.10 on the date indicated above and is addressed to:

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

Tara Hayden

TRANSMITTAL LETTER

Honorable Assistant Commissioner
for Patents
Box Patent Application
Washington, D.C. 20231

Dear Sir:

Enclosed for filing please find the patent application for an invention entitled,
"XML USER INTERFACE FOR A WORKFLOW SERVER", filed on behalf of Confer
Software, Inc., assignee from inventors Tipin Ben Chang and Chung-Jen Ho, including
Utility Patent Application Transmittal, 55 pages of specification, 5 pages of claims, 5
sheets of drawing figures, and one page of Abstract.

The attorney's Docket Number is ARAX-011.

Kindly address all communications regarding this application to:

David B. Ritchie
D'Alessandro & Ritchie
P.O. Box 640640
San Jose, CA 95164-0640
Telephone (408) 441-1100

No fee is being paid at this time.

Respectfully submitted,
D'ALESSANDRO & RITCHIE


Marc S. Hanish
Reg. No. 42,626

Dated: March 9, 2000

D'Alessandro & Ritchie
P.O. Box 640640
San Jose, CA 95164-0640
(408) 441-1100